

# Prismatic Cohomology

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## 1 Introduction

Prismatic cohomology is a new cohomology theory for  $p$ -adic formal schemes, introduced in the groundbreaking work [BS22]. It unifies various  $p$ -adic cohomology theories, including crystalline, de Rham, étale, and  $q$ -de Rham cohomology (also developed in [BS22]).

The fundamental objects in prismatic cohomology are prisms, which play a role analogous to that of a  $\mathrm{pd}$ -algebra in crystalline cohomology. A prism is a pair  $(A, I)$  where  $A$  is a ring equipped with a Frobenius lift (in the derived sense), and  $I$  is an ideal satisfying some technical conditions, such that  $A$  is (derived)  $(p, I)$ -complete.

Moreover, prisms generalize the notion of perfectoid rings defined in [BMS18], corresponding to a “de-perfected” perfectoid ring in the following sense.

**Theorem 1.1.** The category of “perfect” prisms is equivalent to the category of (integral) perfectoid rings.

For technical reasons, we restrict to the class of bounded prisms (see Definition 3.8). Let  $(A, I)$  be a bounded prism. For every (smooth)  $p$ -adic formal scheme  $X$  over  $A/I$ , we shall associate the prismatic site  $(X/A)_\Delta$  to  $X$ , and thus the prismatic cohomology  $\Delta_{X/A}$ , defined in a way similar to crystalline cohomology. The object  $\Delta_{X/A}$  recovers many  $p$ -adic cohomology theories. Here (and throughout this note) we state the results in the affine case for simplicity. The global results then follow by formal reasons.

**Theorem 1.2.** Let  $(A, I)$  be a bounded prism. Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra.

- (1) Assume that  $(A, I)$  is crystalline. The crystalline comparison is

$$\Delta_{R/A} \widehat{\otimes}_{A, \phi}^L A \simeq R\Gamma_{\text{crys}}(R/A).$$

- (2) The Hodge–Tate comparison is

$$H^\bullet(\Delta_{R/A} \otimes_A^L A/I)\{\bullet\} \simeq \Omega_{R/(A/I)}^\bullet.$$

- (3) Assume that  $(A, I)$  is perfect and  $I = (d)$ . The étale comparison is

$$R\Gamma(\text{Spec}(R[1/p]), \mathbb{Z}/p^n) \simeq (\Delta_{R/A}[1/d]/p^n)^{\phi=1}.$$

We do not discuss the de Rham comparison, the  $q$ -de Rham comparison, and the relation with homotopy theory in this note.

## 1.1 Notations

Some of the notations and conventions are summarized below.

- Let  $p$  be a prime.
- For an  $A/I$ -module  $M$ , we write  $M\{i\} = M \otimes_{A/I} I^i/I^{i+1}$ .
- For a ring  $A$ , we write  $D(A)$  for the derived  $\infty$ -category of  $A$ .
- We use “ $\bullet$ ” to denote index of complexes, (co-)simplicial objects, and dgas. It is suppressed for objects in the derived category.

## 2 Preliminaries

### 2.1 Animated rings

**Definition 2.1.** Let  $R$  be a ring. Let  $\text{Poly}_R$  be the category of polynomial rings over  $R$  in finitely many variables. Note that the category  $\text{Poly}_R$  admits finite coproducts given by the tensor product over  $R$ .

**Lemma 2.2.** Let  $R$  be a ring. The following categories are equivalent.

- (1) The category of  $R$ -algebras.
- (2) The full subcategory of  $\text{Fun}(\text{Poly}_R^{\text{op}}, \text{Set})$  spanned by functors preserving finite products.

**Definition 2.3.** Let  $R$  be a ring. An animated  $R$ -algebra is a functor  $\text{Poly}_R^{\text{op}} \rightarrow \text{Ani}$  preserving finite products. The  $\infty$ -category  $\text{AniAlg}_R$  of animated  $R$ -algebras is the full subcategory of  $\text{Fun}(\text{Poly}_R^{\text{op}}, \text{Ani})$ .

**Remark 2.4.** Let  $R$  be a ring. A simplicial  $R$ -algebra is a simplicial object in the category of  $R$ -algebras. Let  $\text{sAlg}_R$  be the category of simplicial  $R$ -algebras. It is equivalent to the full subcategory of  $\text{Fun}(\text{Poly}_R^{\text{op}}, \text{sSet})$  spanned by functors preserving finite products. Hence the category  $\text{sAlg}_R$  is equipped with a simplicial model structure induced by the Quillen model structure on  $\text{sSet}$ , see [Lur09, Proposition 5.5.9.1]. Moreover, the  $\infty$ -category associated to  $\text{sAlg}_R$  is equivalent to  $\text{AniAlg}_R$  by [Lur09, Corollary 5.5.9.3].

**Remark 2.5.** Alternatively, we can apply the process of animating a cocomplete category generated under colimits by the full-category of objects strongly of finite presentation, see [ČS24, Section 5.1.1]. For a ring  $R$ , the following  $\infty$ -categories are equivalent.

- (1)  $\text{AniAlg}_R$  in Definition 2.3.
- (2)  $\text{Ani}(\text{Alg}_R)$ , the animation of the category of  $R$ -algebras.
- (3)  $\text{Ani}(\text{Ring})_{R/}$ , the slice category under the (static) ring  $R$ .

For the equivalence between (2) and (3), see [Lurb, Corollary 25.1.4.4].

**Lemma 2.6.** Let  $R$  be a ring. Let  $\mathcal{C}$  be an  $\infty$ -category that admits (small) sifted colimits. Let  $\text{Fun}_\Sigma(\text{AniAlg}_R, \mathcal{C})$  be the full subcategory of  $\text{Fun}(\text{AniAlg}_R, \mathcal{C})$  spanned by functors preserving (small) sifted colimits. Then composition with the embedding  $\text{Poly}_R \rightarrow \text{AniAlg}_R$  induces an equivalence

$$\text{Fun}_\Sigma(\text{AniAlg}_R, \mathcal{C}) \rightarrow \text{Fun}(\text{Poly}_R, \mathcal{C})$$

of  $\infty$ -categories.

*Proof.* See [Lurb, Proposition 25.1.1.5]. □

**Definition 2.7.** Let  $R$  be a ring. There is an essentially unique functor  $\theta$  from  $\text{AniAlg}_R$  to the  $\infty$ -category of connective  $\mathbb{E}_\infty$ - $R$ -algebras, extending the identity on  $\text{Poly}_R$ , by Lemma 2.6. For an animated  $R$ -algebra  $A$ , the connective  $\mathbb{E}_\infty$ - $R$ -algebra  $\theta(A)$  is called the underlying  $\mathbb{E}_\infty$ - $R$ -algebra of  $A$  and denoted by  $A$ .

**Definition 2.8.** Let  $A$  be an animated ring. The  $\infty$ -category  $\text{Mod}(A)$  of  $A$ -modules is defined as the  $\infty$ -category of modules over the underlying  $\mathbb{E}_\infty$ -ring of  $A$ . The  $\infty$ -category of animated  $A$ -modules is the full subcategory of  $\text{Mod}(A)$  of connective objects. Alternatively, animated modules can be obtained via animating the category of pairs  $(R, M)$  where  $R$  is a ring and  $M$  is an  $R$ -module.

**Definition 2.9.** By animating the functor  $(A, M) \mapsto \text{Sym}_A(M)$ , we obtain the (derived) symmetric algebra for animated modules. Let  $A$  be an animated ring. Let  $M$  be an animated  $A$ -module. Let  $f : M \rightarrow A$  be a map of animated  $A$ -modules. The quotient ring  $A//M$  is defined via the pushout diagram

$$\begin{array}{ccc} \text{Sym}_A(M) & \xrightarrow{0} & A \\ \downarrow f & & \downarrow \\ A & \longrightarrow & A//M. \end{array}$$

We define quotient modules in a similar fashion.

**Remark 2.10.** Let  $A$  be a ring. Let  $f_1, \dots, f_r$  be elements of  $A$ . Then  $A//(f_1, \dots, f_r)$  can be represented by the Koszul complex  $\text{Kos}(A; (f_1, \dots, f_r))$ .

**Definition 2.11.** Let  $A$  be an animated ring. Let  $M$  be an animated  $A$ -module. We say that  $M$  is flat (resp. faithfully flat) over  $A$  if  $\pi_0(M)$  is a flat (resp. faithfully flat)  $\pi_0(A)$ -module and if the natural morphism  $\pi_i(A) \otimes_{\pi_0(A)} \pi_0(M) \rightarrow \pi_i(M)$  is an isomorphism for every  $i$ .

## 2.2 Derived completion

**Definition 2.12.** Let  $A$  be an animated ring. Let  $I = (f_1, \dots, f_r) \subset \pi_0(A)$  be a finitely generated ideal. Let  $M$  be an  $A$ -module. The (derived)  $I$ -completion of  $M$  is defined as

$$\widehat{M} = \lim_{n \geq 0} M//I^n.$$

We say that  $M$  is (derived)  $I$ -complete if the natural map  $M \rightarrow \widehat{M}$  is an isomorphism. The full subcategory of  $I$ -complete  $A$ -modules is usually denoted by  $\widehat{D}(A)$ .

**Lemma 2.13.** Let  $A$  be an animated ring. Let  $I = (f_1, \dots, f_r) \subset \pi_0(A)$  be a finitely generated ideal. The inclusion functor  $\widehat{D}(A) \rightarrow D(A)$  admits a left adjoint given by the  $I$ -completion functor.

*Proof.* See [Sta, Tag 091V] for the case  $A$  is discrete.  $\square$

**Lemma 2.14.** Let  $A$  be a ring. Let  $I$  be a finitely generated ideal of  $A$ . Let  $K \in D(A)$  be  $I$ -complete. If  $K \otimes_A^L A/I = 0$ , then  $K = 0$ .

*Proof.* See [Sta, Tag 0G1U].  $\square$

**Definition 2.15.** Let  $A$  be an animated ring. Let  $I = (f_1, \dots, f_n) \subset \pi_0(A)$  be a finitely generated ideal. Let  $B$  be an  $I$ -complete animated  $A$ -algebra. A sequence  $x_1, \dots, x_r \in \pi_0(B)$  is called  $I$ -completely regular relative to  $A$  if the map  $A//I \rightarrow B//I$  is flat.

**Definition 2.16.** Let  $A$  be a ring. Let  $I$  be a finitely generated ideal of  $A$ .

- (1) Let  $M$  be an  $A$ -module where  $A$  is viewed as an animated ring. We say that  $M$  is  $I$ -completely flat if  $M//I$  is discrete and flat over  $A/I$ .
- (2) A ring map  $A \rightarrow B$  is called  $I$ -completely smooth (resp. étale) if  $B//I$  is discrete and smooth (resp. étale) over  $A/I$ .

**Lemma 2.17.** Let  $A$  be a ring. Let  $I$  be a finitely generated ideal of  $A$ . Let  $M$  be a flat (resp. faithfully flat)  $A$ -module. Then the  $I$ -completion  $\widehat{M}$  is  $I$ -completely flat (resp. faithfully flat) over  $A$ .

**Lemma 2.18.** Let  $R$  be a ring. Let  $I$  be a finitely generated ideal of  $R$ . Let  $R \rightarrow S$  be a ring map of finite  $I$ -complete tor-amplitude. Then the  $I$ -completed base-change functor  $-\widehat{\otimes}_R^L S$  commutes with totalization of cosimplicial algebras (i.e. taking limit in the derived category).

*Proof.* This is [BS22, Lemma 4.22].  $\square$

## 2.3 Čech cohomological descent

**Definition 2.19.** Let  $C$  be a category. An object  $X \in C$  is called weakly final if every object of  $C$  admits a morphism to  $X$ .

**Lemma 2.20.** Let  $C$  be a site. Suppose  $h_X$  is a sheaf represented by a weakly final object  $X$  of  $C$ . Then the map  $h_X \rightarrow *$  of sheaves is surjective.

**Lemma 2.21.** Let  $C$  be a topos. Let  $Y \rightarrow X$  be a surjective morphism in the topos  $C$ . Let  $Y_\bullet$  be the Čech nerve of  $Y \rightarrow X$ . Let  $A$  be an abelian group object of  $C$ . Then  $R\Gamma(X, A)$  is computed by the cosimplicial object  $R\Gamma(Y_\bullet, A)$ .

*Proof.* Since  $C$  is a topos, the map  $Y \rightarrow X$  is an effective epimorphism, and thus  $Y_\bullet \rightarrow X$  is a hypercovering. The result then follows from cohomological descent, see [Sta, Tag 09VX].  $\square$

## 2.4 Cosimplicial computations

**Remark 2.22.** Let  $M$  be a commutative additive monoid. We have a cosimplicial object  $EM$  defined as follows.

- (1) The simplices are  $[n] \mapsto M^{\oplus(n+1)}$ .
- (2) The face map  $d_i : M^{\oplus n} \rightarrow M^{\oplus(n+1)}$  is the inclusion that maps into all the components except the  $i$ -th one.
- (3) The degeneracy map  $s_i : M^{\oplus(n+1)} \rightarrow M^{\oplus n}$  is reducing the  $i$ -th and  $(i+1)$ -th components using the monoid operation. The cosimplicial object  $EM$  can be viewed as the Čech nerve of  $0 \rightarrow M$ . Moreover, the construction  $M \mapsto EM$  is functorial in  $M$ .

**Remark 2.23.** Let  $A$  be a  $\mathbb{F}_p$ -algebra. Let  $B = A[X_1, \dots, X_r]$ . Then  $B$  can be identified with  $A[M]$  for the monoid  $M = \mathbb{N}^r$ . Moreover, the relative Frobenius  $B^{(1)} \rightarrow B$  identifies with the map  $A[pM] \rightarrow A[M]$  induced by the inclusion  $pM \rightarrow M$ .

**Remark 2.24.** Let  $A$  be a  $\mathbb{F}_p$ -algebra. Let  $B$  a polynomial  $A$ -algebra of finitely many variables. Let  $B^\bullet$  be the Čech nerve of  $A \rightarrow B$ . Let  $B^{\bullet, (1)}$  be the (termwise) Frobenius twist of  $B^\bullet$  and in particular we have the relative Frobenius  $B^{\bullet, (1)} \rightarrow B^\bullet$  over  $A$ .

Write  $B = A[M]$  with  $M = \mathbb{N}^r$  for  $r \geq 0$ . The map  $B^{\bullet, (1)} \rightarrow B^\bullet$  can be identified with  $A[E(pM)] \rightarrow A[EM]$  induced by the inclusion  $pM \rightarrow M$ . Let  $S = \{0, \dots, p-1\}^r \subset M$ . We have a semi-cosimplicial set  $ES$  defined in a similar way as  $EM$ , i.e.  $(ES)^n = S^{n+1}$ , and the face map  $d_i : (ES)^{n-1} \rightarrow (ES)^n$  is the inclusion to all components except the  $i$ -th one and zero for the  $i$ -th component of  $(ES)^n$ . In particular, we have an inclusion  $ES \subset EM$  of semi-cosimplicial sets. The decomposition  $M = \sqcup_{s \in S} s + pM$  can be upgraded to

$$M^{\oplus(n+1)} = \bigsqcup_{s \in S^{n+1}} s + pM^{\oplus(n+1)}.$$

It follows that the semi-cosimplicial  $A$ -algebra  $B^\bullet \simeq A[EM]$  is a free module over the semi-cosimplicial  $A$ -algebra  $B^{\bullet, (1)} \simeq A[E(pM)]$ , and

$$A[E(pM)] \otimes_A A[ES] \simeq A[EM].$$

**Lemma 2.25.** Let  $A$  be a  $\mathbb{F}_p$ -algebra. Let  $B$  a polynomial  $A$ -algebra. Let  $B^\bullet$  be the Čech nerve of  $A \rightarrow B$ . Let  $B^{\bullet, (1)}$  be the (termwise) Frobenius twist of  $B^\bullet$ . Let  $N^\bullet$  be a cosimplicial  $B^{\bullet, (1)}$ -module. Then the natural map

$$N^\bullet \rightarrow N^\bullet \otimes_{B^{\bullet, (1)}} B^\bullet$$

gives a quasi-isomorphism on associated (unnormalized) chain complexes of  $A$ -modules.

*Proof.* Assume that  $B$  is a polynomial algebra in finitely many variables. We have

$$\begin{aligned} N^\bullet \otimes_{B^{\bullet, (1)}} B^\bullet &\simeq N^\bullet \otimes_{A[E(pM)]} A[EM] \\ &\simeq N^\bullet \otimes_{A[E(pM)]} A[E(pM)] \otimes_A A[ES] \\ &\simeq N^\bullet \otimes_A A[ES] \end{aligned}$$

as semi-cosimplicial  $A$ -modules. It remains to check that the map  $A \rightarrow A[ES]$  of semi-cosimplicial  $A$ -modules is a homotopy equivalence, and this is clear as it can be viewed as the Čech nerve of  $A \rightarrow A[S]$  which clearly admits a section.  $\square$

## 2.5 Crystalline cohomology

**Remark 2.26.** Let  $A$  be a  $p$ -torsion-free  $\mathbb{Z}_{(p)}$ -algebra. Let  $R$  be a smooth  $A/p$ -algebra. Let  $P \rightarrow R$  be a surjection of  $A$ -algebras where  $P$  is an ind-smooth  $A$ -algebra. Let  $J$  be the kernel of  $P \rightarrow R$ . Then  $D$ , the  $p$ -completion of the subring of  $P[1/p]$  generated by  $P$  and  $\{\gamma_n(x); x \in J, n \geq 1\}$ , is the  $p$ -completed pd-envelope of  $P \rightarrow R$ . In particular,  $D \rightarrow R$  is a surjection of  $A$ -algebras with  $p$  nilpotent on  $D$ .

**Construction 2.27.** Let  $A$  be a ring. Let  $R$  be a smooth  $A/p$ -algebra. Let  $B_0 \rightarrow R$  be a surjection of  $A$ -algebras with  $B_0$  being the  $p$ -completion of a polynomial  $A$ -algebra. Let  $J_0$  be the kernel of  $B_0 \rightarrow R$ . Let  $B$  be the  $p$ -completion of the free  $\delta$ - $A$ -algebra on  $B_0$ . Let  $R' = B/J$  where  $J = J_0 B$ . Let  $D_0$  (resp.  $D$ ) be the  $p$ -completed pd-envelope of  $J_0 \subset B_0$  (resp.  $J \subset B$ ). The situation is summarized in the diagram

$$\begin{array}{ccccc} A & \longrightarrow & B_0 & \longrightarrow & B \\ \downarrow & & \downarrow & & \downarrow \\ & & D_0 & \longrightarrow & D \\ \downarrow & & \downarrow & & \downarrow \\ A/p & \longrightarrow & R & \longrightarrow & R'. \end{array}$$

For every  $n \geq 1$ , the rings  $D_0/p^n$  (resp.  $D/p^n$ ) identifies with the pd-envelope of  $B_0/p^n \rightarrow R$  (resp.  $B/p^n \rightarrow R'$ ). In particular, we obtain an object  $(D/p^n \rightarrow R' \leftarrow R)$  of the big crystalline site  $\text{CRYS}(R/A)$ . The pro-object  $(D/p^n \rightarrow R' \leftarrow R)_{n \geq 1}$  of  $\text{CRYS}(R/A)$  is weakly initial, i.e. every object of  $\text{CRYS}(R/A)$  admits a morphism from some term of the pro-object (note that this is equivalent to being a weakly initial object in the category  $\text{Pro}(\text{CRYS}(R/A))$  of pro-objects). Let  $D^\bullet$  be the cosimplicial object obtained by applying the construction  $B_0 \mapsto D$  to the Čech nerve of  $A \rightarrow B_0$ . Then  $(D^\bullet/p^n \rightarrow (R')^\bullet \leftarrow R)_{n \geq 1}$  is the Čech nerve of the pro-object. Therefore  $R\Gamma_{\text{crys}}(R/A)$  is computed by  $D^\bullet$ , cf. [BdJ11, Lemma 2.4] and [Sta, Tag 07LH].

## 2.6 $\delta$ -rings

**Definition 2.28.** A  $\delta$ -ring is a  $\mathbb{Z}_{(p)}$ -algebra  $A$  equipped with a map  $\delta : A \rightarrow A$  of sets such that

- (1)  $\delta(xy) = x^p \delta(y) + y^p \delta(x) + p\delta(x)\delta(y)$ ;
- (2)  $\delta(x + y) = \delta(x) + \delta(y) + \frac{1}{p}(x^p + y^p - (x + y)^p)$ .

An element  $d$  of a  $\delta$ -ring  $A$  is called distinguished if  $\delta(d)$  is a unit of  $A$ .

**Definition 2.29.** Let  $(A, \delta)$  be a  $\delta$ -ring. The associated Frobenius endomorphism is  $\phi_A : A \rightarrow A$  defined by

$$\phi_A(x) = x^p + p\delta(x).$$

It is a lift of the Frobenius on  $A/p$ .

**Remark 2.30.** Let  $W_2(R)$  be the ring of Witt vectors of length 2. Recall that addition and multiplication on  $W_2(R)$  are defined as

$$(x_0, y_0) + (x_1, y_1) = \left( x_0 + x_1, y_0 + y_1 + \frac{1}{p}[x_0^p + x_1^p - (x_0 + x_1)^p] \right)$$

and

$$(x_0, y_0) \cdot (x_1, y_1) = (x_0 x_1, x_0^p y_1 + x_1^p y_0 + p y_0 y_1).$$

Thus it is clear that  $\delta$ -structures on  $R$  are equivalent to sections of the natural map  $W_2(R) \rightarrow R$ .

**Remark 2.31.** The functor  $W_2(-)$  can be extended to the category of animated rings using Lemma 2.6. We then define an animated  $\delta$ -ring as an animated  $\mathbb{Z}_{(p)}$ -algebra  $R$  equipped with a section of  $W_2(R) \rightarrow R$ , cf. [BL22, Definition A.11]. The resulting category of animated  $\delta$ -ring is equivalent to the category obtained via animating the category of  $\delta$ -rings, cf. [Mao21, Definition 5.4].

**Lemma 2.32.** The category of  $\delta$ -rings admits all limits and colimits, perserved by the forgetful functor to sets.

*Proof.* The  $\delta$ -structure on limits can be constructed directly. For colimits, we can use the characterization with  $W_2$ . □

**Remark 2.33.** By formal reasons, the forgetful from  $\delta$ -rings to sets admits a left adjoint, that is, “adjoining elements”, denoted by  $A\{\cdot\}$ . However, in the following, we mostly adjoin elements in the  $\infty$ -category of animated  $\delta$ -rings (say, via a pushout square), and then show the resulting animated  $\delta$ -ring is discrete.

**Lemma 2.34.** Let  $A$  be a  $\delta$ -ring. Let  $I$  be a finitely generated ideal of  $A$  containing  $p$ . Then the  $\delta$ -structure on  $A$  can be extended uniquely to a  $\delta$ -structure on the  $I$ -completion of  $A$ .

*Proof.* See [BS22, Lemma 2.18]. □

**Lemma 2.35.** Let  $A$  be a  $\delta$ -ring. Let  $d$  be an element of  $A$ . Assume that  $p, d \in \text{rad}(A)$ . Then  $d$  is an distinguished element if and only if  $p \in (d, \phi(d))$ .

*Proof.* See [BS22, Lemma 2.25]. □

**Lemma 2.36.** Let  $A$  be a  $\delta$ -ring. Let  $d = fh$  be an distinguished element of  $A$ . If  $f, p \in \text{rad}(A)$ , then  $f$  is distinguished in  $A$  and  $h$  is a unit of  $A$ .

*Proof.* We have

$$\delta(d) = f^p \delta(h) + h^p \delta(f) + p \delta(f) \delta(h).$$

The claim follows directly.  $\square$

**Lemma 2.37.** Let  $\mathbb{Z}_{(p)}\{x\}$  be the free  $\delta$ -ring on  $\{x\}$ .

- (1) The ring  $\mathbb{Z}_{(p)}\{x\}$  is the polynomial  $\mathbb{Z}_{(p)}$ -algebra on  $\{x, \delta(x), \delta^2(x), \dots\}$ .
- (2) The associated Frobenius  $\phi$  on  $\mathbb{Z}_{(p)}$  is faithfully flat.

*Proof.* Proof of (1). Let  $A$  be the polynomial  $\mathbb{Z}_{(p)}$ -algebra  $\mathbb{Z}_{(p)}[x_0, x_1, \dots]$ . We define an endomorphism  $\phi$  of  $A$  by

$$\phi(x_i) = x_i^p + px_{i+1}$$

for  $i \geq 0$ . Then  $\phi$  lifts the Frobenius on  $A/p$ , and thus it corresponds to a unique  $\delta$ -structure on  $A$  as  $A$  is  $p$ -torsion-free. Unwinding the definitions, we see that  $\delta(x_i) = x_{i+1}$ . The universal property is clear.

Proof of (2). We first write  $\phi : A \rightarrow A$  as the filtered colimit of

$$\phi_i : \mathbb{Z}_{(p)}[x_0, \dots, x_i] \rightarrow \mathbb{Z}_{(p)}[x_0, \dots, x_{i+1}].$$

It suffices to show that both  $\phi_i[1/p]$  and  $\phi_i/p$  are faithfully flat. It is clear that  $\phi_i[1/p]$  can be written as

$$\phi_i[1/p] : \mathbb{Q}[x_0, \phi(x_0), \dots, \phi^{(i-1)}(x_0)] \rightarrow \mathbb{Q}[x_0, \phi(x_0), \dots, \phi^i(x_0)]$$

where  $\phi_i[1/p]$  shifts generators. Hence  $\phi_i[1/p]$  is faithfully flat. It is also clear that  $\phi/p$  can be identified with the inclusion

$$\phi_i/p : \mathbb{F}_p[x_0, \dots, x_i] \rightarrow \mathbb{F}_p[x_0, \dots, x_{i+1}]$$

which is clearly faithfully flat.  $\square$

**Lemma 2.38.** Let  $A$  be a  $p$ -complete animated  $\delta$ -ring. Let  $B$  be a  $p$ -complete animated  $\delta$ - $A$ -algebra. Let  $x_1, \dots, x_r$  be a sequence in  $\pi_0(B)$  that is  $p$ -completely regular relative to  $A$ . Then  $C = B\{x_1/p, \dots, x_r/p\}^\wedge$  is  $p$ -completely flat over  $A$ .

*Proof.* See [BS22, Corollary 2.44].  $\square$

**Lemma 2.39.** Let  $A$  be a  $p$ -torsion-free  $\delta$ -ring. Let  $f_1, \dots, f_r$  be elements of  $A$  such that the images in  $A/p$  form a regular sequence. Let  $A\{\phi(f_1)/p, \dots, \phi(f_r)/p\}$  be the animated  $\delta$ -ring obtained by freely adjoining (in the category of animated  $\delta$ -rings) the elements  $\phi(f_i)/p$  to  $A$ . Then  $A\{\phi(f_1)/p, \dots, \phi(f_r)/p\}$  is discrete,  $p$ -torsion-free, and identifies with  $D_{(f_1, \dots, f_r)}(A)$ , the pd-envelope of  $(f_1, \dots, f_r) \subset A$ .

*Proof.* See [BS22, Corollary 2.39].  $\square$

**Lemma 2.40.** Let  $A$  be a  $\delta$ -ring. Let  $I$  be a finitely generated ideal of  $A$  containing  $p$ . Let  $B$  be an  $I$ -complete  $I$ -completely étale  $A$ -algebra. Then  $B$  admits a unique  $\delta$ -structure compatible with  $A$ .

*Proof.* This is [BS22, Lemma 2.18]. We only remark that the proof relies on the fact (a version of Elkik's algebraization) that an  $I$ -completely étale  $A$ -algebra can be written as the  $I$ -completion of some étale  $A$ -algebra, see [BS22, Footnote 6].  $\square$

## 2.7 Perfectoid rings

**Definition 2.41** ([BMS18, Definition 3.5]). A ring  $R$  is perfectoid if it is  $p$ -adically complete, there is some  $\pi \in R$  such that  $\pi^p = pu$  for some  $u \in R^\times$ , the Frobenius  $x \mapsto x^p$  on  $R/p$  is surjective, and the kernel of Fontaine's map  $\theta : A_{\text{inf}}(R) \rightarrow R$  is principal.

**Lemma 2.42** ([BMS19, Proposition 4.19]). Let  $R$  be a perfectoid rings.

- (1) The kernel of  $\theta : A_{\text{inf}}(R) \rightarrow R$  is generated by a non-zero-divisor  $\xi$  of the form  $p + [\pi^b]^p \alpha$  where  $\pi^b = (\pi, \pi^{1/p}, \dots) \in R^b$  and  $\alpha \in A_{\text{inf}}(R)$ .
- (2) We have  $R[p^\infty] = R[p]$ . In particular, the ring  $R$  has bounded  $p^\infty$ -torsion.

## 2.8 The arc topology

**Remark 2.43.** Recall that the valuation group of a valuation ring of rank 1 can be embedded in the additive group  $\mathbb{R}$ . We say that a valuation ring is eudoxian if its rank is  $\leq 1$ , following [Ked21, Definition 20.2.1].

**Definition 2.44** ([BM21, Definition 1.2]). A map  $f : Y \rightarrow X$  of (qcqs) schemes is called an arc cover if for every eudoxian valuation ring  $V$  and a map  $\text{Spec}(V) \rightarrow X$ , there is an extension  $V \rightarrow W$  of eudoxian valuation rings and a map  $\text{Spec}(W) \rightarrow Y$  lifting  $f$ . The arc topology on the category of schemes is the Grothendieck topology where  $\{f_i : Y_i \rightarrow X\}_{i \in I}$  is a covering family if for every affine open  $V \subset X$ , there exist a map  $t : K \rightarrow I$  of sets with  $K$  finite, and affine opens  $U_k \subset f_{t(k)}^{-1}(V)$  for  $k \in K$  such that the induced map  $\sqcup_k U_k \rightarrow V$  is an arc cover.

The following variant is used in the étale comparison theorem.

**Definition 2.45.** A map  $f : Y \rightarrow X$  of schemes is called an arc- $p$  cover if it satisfies the condition for an arc-cover in Definition 2.44 for every  $p$ -complete eudoxian valuation ring  $V$ . The arc- $p$  topology is defined similarly using arc- $p$  covers.

**Lemma 2.46.** Let  $R$  be a  $\mathbb{Z}_{(p)}$ -algebra. Let  $\mathcal{F}$  be a torsion sheaf on  $\text{Spec}(R)_{\text{ét}}$ . Then the functor

$$S \mapsto R\Gamma(\text{Spec}(S_p^\wedge[1/p]), \mathcal{F})$$

is a sheaf for the arc- $p$  topology.

*Proof.* See [BM21, Corollary 6.17]. □

## 3 Prisms

### 3.1 Basics

**Definition 3.1.** A  $\delta$ -pair is a pair  $(A, I)$  consisting of a  $\delta$ -ring  $A$  and an ideal  $I$  of  $A$ .

**Definition 3.2.** A prism is a  $\delta$ -pair  $(A, I)$  satisfying the following properties.

- (1) The ideal  $I$  defines a Cartier divisor on  $\text{Spec}(A)$ , i.e.  $I$  is an invertible  $A$ -module.
- (2) The ring  $A$  is  $(p, I)$ -complete.
- (3) We have  $p \in I + \phi(I)A$ .

**Remark 3.3.** The first condition implies that the ideal  $I$  is finitely generated. The third condition is satisfied when  $I$  is generated by a distinguished element, cf. Lemma 2.35.

**Lemma 3.4.** Let  $(A, I)$  be a prism. Then there exists a faithfully flat map  $A \rightarrow A'$  of  $\delta$ -rings such that  $IA'$  is generated by a distinguished element  $d$ .

*Proof.* This is [BS22, Lemma 3.1]. □

**Lemma 3.5.** Let  $(A, I) \rightarrow (B, J)$  be a map of prisms. Then we have a natural isomorphism  $I \otimes_A B \simeq J$  of  $B$ -modules. In particular, we have  $J = IB$ .

*Proof.* This is [BS22, Lemma 3.5]. □

**Lemma 3.6.** Let  $(A, I)$  be a prism. Let  $A \rightarrow B$  be a map of  $\delta$ -rings. Assume that  $B$  is  $(p, I)$ -complete as an  $A$ -algebra. Then  $(B, IB)$  is a prism if and only if  $B[I] = 0$ .

*Proof.* This is [BS22, Lemma 3.5]. □

**Definition 3.7.** A map  $(A, I) \rightarrow (B, J)$  of prisms is flat (resp. faithfully flat) if the underlying ring map  $A \rightarrow B$  is  $(p, I)$ -completely flat (resp. faithfully flat).



### 3.2 Bounded prisms

**Definition 3.8.** Let  $(A, I)$  be a prism.

- (1) It is called bounded if  $A/I$  has bounded  $p^\infty$ -torsion.
- (2) It is called orientable if the ideal  $I$  is principal, and a choice of generator is called an orientation. It is called oriented if an orientation is chosen.

**Lemma 3.9.** Let  $(A, I)$  be a bounded prism.

- (1) The ring  $A$  is classically  $(p, I)$ -complete.
- (2) Let  $M \in D(A)$  be  $(p, I)$ -complete and  $(p, I)$ -completely flat. Then  $M$  is discrete and classically  $(p, I)$ -complete. Moreover, we have  $M[I] = 0$  and  $M/IM$  has bounded  $p^\infty$ -torsion.
- (3) There exists a faithfully flat map  $(A, I) \rightarrow (B, IB)$  of prisms where  $(B, IB)$  is bounded and orientable.

*Proof.* This is [BS22, Lemma 3.7].  $\square$

**Remark 3.10.** In particular, if  $(A, I) \rightarrow (B, IB)$  is a flat map of prisms with  $(A, I)$  bounded, then  $(B, IB)$  is also bounded.

**Lemma 3.11.** Let  $(C, IC) \xleftarrow{c} (A, I) \xrightarrow{b} (B, IB)$  be maps of bounded prisms where  $b$  is faithfully flat. Then the pushout of  $b$  along  $c$  exists in the category of prisms and it is a faithfully flat map of bounded prisms.

*Proof.* Take  $D$  to be the  $(p, I)$ -completion of  $B \otimes_A^L C$ . Then  $D$  is  $(p, I)$ -completely flat over  $A$  as  $b$  is flat. Then  $D$  is concentrated in degree zero,  $D[I] = 0$ , and  $D/ID$  has bounded  $p^\infty$ -torsion, by Lemma 3.9. It follows that  $(D, ID)$  is a bounded prism by Lemma 3.6. It is clear that  $(D, ID)$  has the desired universal property.  $\square$

**Remark 3.12.** In particular, Lemma 3.11 shows that faithfully maps generate a Grothendieck topology on the category of bounded prisms, which we refer to as the flat topology.

**Lemma 3.13.** Let  $(A, I) \rightarrow (B, IB)$  be a faithfully flat map of bounded prisms. Let  $(B^\bullet, IB^\bullet)$  be the Čech nerve of  $(A, I) \rightarrow (B, IB)$ . Then we have  $A \simeq \lim_{\Delta} B^\bullet$  as animated rings.

*Proof.* We have

$$\lim_{\Delta} B^\bullet = \lim_{[n] \in \Delta} (B^{\otimes_A(n+1)})_{(p, I)}^\wedge = \lim_{[n] \in \Delta} \lim_m B^{\otimes_A(n+1)} // (p, I)^m = \lim_m A // (p, I)^m = A$$

where the third equality follows from faithfully flat descent of animated rings.  $\square$

**Remark 3.14.** This descent property shows that the flat topology on the category of bounded prisms, or the prismatic site, is subcanonical.

**Lemma 3.15.** The assignment  $(A, I) \mapsto \widehat{D}_{\text{flat}}(A)$  carrying a bounded prism  $(A, I)$  to the category of  $(p, I)$ -complete  $(p, I)$ -completely flat  $A$ -modules is a sheaf on the category of bounded prisms with the flat topology.

*Proof.* This follows from  $(p, I)$ -completely faithfully flat descent.  $\square$

### 3.3 Perfect prisms

**Lemma 3.16.** The following two categories are equivalent.

- (1) The category of perfectoid rings.
- (2) The category of perfect prisms.

The functors are  $R \mapsto (A_{\text{inf}}(R), \ker(\theta))$  and  $(A, I) \mapsto A/I$  respectively.

*Proof.* Omitted.  $\square$

**Lemma 3.17.** Let  $(A, I)$  be a perfect prism corresponding to a perfectoid ring  $R = A/I$ . Let  $(B, J)$  be a prism. Then every map  $A/I \rightarrow B/J$  of rings lifts uniquely to a map  $(A, I) \rightarrow (B, J)$  of prisms.

*Proof.* Omitted.  $\square$

### 3.4 Prismatic envelopes

**Lemma 3.18.** Let  $(A, I)$  be a prism. The forgetful functor from the category of prisms over  $(A, I)$  to the category of  $\delta$ -pairs over  $(A, I)$  admits a left adjoint.

*Proof.* Assume that  $I = (d)$  is principal. Let  $(A, (d)) \rightarrow (B, J)$  be a map of  $\delta$ -pairs. Let  $B_0 = A\{J/d\}^\wedge$  and let  $B_1$  be the  $(p, d)$ -completion of the maximal  $d$ -torsion-free quotient of  $B_0$ . If  $B_1[d] = 0$ , then  $(B_1, dB_1)$  is a prism by Lemma 3.6, and  $(B_1, dB_1)$  has the desired universal property. Otherwise, let  $B_2$  be the  $(p, d)$ -completion of the maximal  $d$ -torsion-free quotient of  $B_1$ . This (transfinite) operation terminates because a countably filtered colimit of  $(p, d)$ -complete rings is  $(p, d)$ -complete.  $\square$

**Lemma 3.19.** Let  $(A, I)$  be a bounded prism. Let  $(A, I) \rightarrow (B, J)$  be a map of  $\delta$ -pairs where  $B$  is a  $(p, I)$ -complete  $(p, I)$ -completely flat  $\delta$ - $A$ -algebra. Assume that  $J = (I, x_1, \dots, x_r)$  for a sequence  $x_1, \dots, x_r \in B$  that is  $(p, I)$ -completely regular relative to  $A$ . Let  $(A, I) \rightarrow (C, IC)$  be the prismatic envelope of  $(A, I) \rightarrow (B, J)$ .

- (1) The ring  $C$  is  $(p, I)$ -completely flat over  $A$ . In particular, the prism  $(C, IC)$  is bounded.
- (2) The construction  $(B, J) \mapsto (C, IC)$  commutes with base-change along any map  $(A, I) \rightarrow (A', IA')$  of bounded prisms.
- (3) The construction  $(B, J) \mapsto (C, IC)$  commutes with flat localization on  $B$ .

*Proof.* By Lemma 3.9 and Lemma 3.15, we may assume that  $I = (d)$  is principal. Take

$$C = B\{x_1/d, \dots, x_r/d\}^\wedge,$$

formed in the category of animated  $\delta$ - $A$ -algebras. It remains to check that  $C$  is  $(p, d)$ -completely flat over  $A$ , and it follows immediately that  $C$  is discrete, together with other desired properties.

Consider the following diagram obtained by forming pushouts in the  $\infty$ -category of  $(p, d)$ -complete animated  $\delta$ -rings

$$\begin{array}{ccccccc} \mathbb{Z}_{(p)}\{z\}^\wedge & \xrightarrow{z \mapsto d} & A & \longrightarrow & B & \longrightarrow & B\{x_1/d, \dots, x_r/d\}^\wedge \\ \downarrow z \mapsto \phi(y) & & \downarrow & & \downarrow & & \downarrow \\ \mathbb{Z}_{(p)}\{y\}^\wedge & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & B'\{x_1/\phi(y), \dots, x_r/\phi(y)\}^\wedge \\ & & \downarrow & & \downarrow & & \downarrow \\ & & A'\{\phi(y)/p\}^\wedge & \longrightarrow & B'' & \longrightarrow & B''\{x_1/p, \dots, x_r/p\}^\wedge. \end{array}$$

Note that the bottom right term  $B''\{x_1/p, \dots, x_r/p\}^\wedge$  is formed by Lemma 2.36 and the fact that  $\phi(y) = d$  is distinguished. The map  $\mathbb{Z}_{(p)}\{z\}^\wedge \rightarrow \mathbb{Z}_{(p)}\{y\}^\wedge$  is  $(p, z)$ -completely faithfully flat by Lemma 2.37 and Lemma 2.17. It then suffices to show that the map

$$A' \rightarrow B'\{x_1/\phi(y), \dots, x_r/\phi(y)\}^\wedge$$

is  $(p, z)$ -completely flat. By Lemma 2.39, the ring  $A'\{\phi(y)/p\}^\wedge$  identifies with the  $p$ -completion of  $D_{(y)}(A')$ , and thus with the  $p$ -completion of the subring of  $A'[1/p]$  generated by  $A'$  and  $\{\gamma_n(y); n \geq 1\}$ . It follows that the natural map  $A' \rightarrow A'/(p, y)$  factors through  $A'\{\phi(y)/p\}^\wedge$ . By Lemma 2.38 and the fact that being  $(p, d)$ -completely regular is preserved under  $(p, d)$ -completed base-change, the map

$$A'\{\phi(y)/p\}^\wedge \rightarrow B''\{x_1/p, \dots, x_r/p\}^\wedge$$

at the bottom is  $(p, y)$ -completely flat. Then

$$B'\{x_1/\phi(y), \dots, x_r/\phi(y)\}^\wedge \otimes_{A'} A'/(p, y) \simeq B''\{x_1/p, \dots, x_r/p\}^\wedge \otimes_{A'\{\phi(y)/p\}^\wedge} A'/(p, y)$$

is discrete and flat over  $A'/(p, y)$ . Therefore  $B'\{x_1/\phi(y), \dots, x_r/\phi(y)\}^\wedge$  is  $(p, y)$ -completely flat over  $A'$ , as desired.  $\square$

**Lemma 3.20.** Let  $(A, I)$  be a bounded prism. Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra. Let  $B_0 \rightarrow R$  be a surjection with  $B_0$  being a  $(p, I)$ -completely smooth  $A$ -algebra. Let  $B_0 \rightarrow B$  be a  $(p, I)$ -completely flat map with  $B$  being a  $(p, I)$ -complete  $\delta$ - $A$ -algebra. Let  $J_0$  be the kernel of  $B_0 \rightarrow R$ . Let  $J \subset B$  be the  $(p, I)$ -completion of  $J_0 B$ . Then the map  $(A, I) \rightarrow (B, J)$  of  $\delta$ -pairs satisfies the conditions of Lemma 3.19.

*Proof.* This follows from the standard properties of smoothness.  $\square$

## 4 The prismatic site

### 4.1 Basics

Let  $(A, I)$  be a bounded prism. Let  $R$  be an  $A/I$ -algebra.

**Definition 4.1.** We define a category  $(R/A)_\Delta$  as follows.

- (1) The objects of  $(R/A)_\Delta$  are pairs  $(B, u)$  where  $(B, IB)$  is a bounded prism over  $(A, I)$ , and  $u : R \rightarrow B/IB$  is a map of  $A/I$ -algebras. Such an object is often denoted by  $B \rightarrow B/IB \leftarrow R$ .
- (2) A morphism  $(B, u) \rightarrow (C, v)$  in  $(R/A)_\Delta$  is a map  $f : B \rightarrow C$  of  $\delta$ - $A$ -algebras such that the diagram

$$\begin{array}{ccc} R & \xrightarrow{u} & B/IB \\ \downarrow \text{id} & & \downarrow f \\ R & \xrightarrow{v} & C/IC \end{array}$$

commutes. Note that  $f$  is automatically a map of prisms over  $(A, I)$ .

A map  $(B, u) \rightarrow (C, v)$  in  $(R/A)_\Delta$  is called a flat cover if the map  $(B, IB) \rightarrow (C, IC)$  of prisms is faithfully flat, i.e. the ring map  $B \rightarrow C$  is  $(p, I)$ -completely faithfully flat.

The prismatic site of  $R$  over  $(A, I)$  is the category  $(R/A)_\Delta^{\text{op}}$  equipped with the Grothendieck topology given by flat covers.

**Remark 4.2.** The category  $(R/A)_\Delta$  is not a small category. However, there will not be genuine set-theoretical issues, as all the presheaves we consider are already sheaves.

**Definition 4.3.** We define the presheaf  $\mathcal{O}_\Delta$  on  $(R/A)_\Delta^{\text{op}}$  valued in  $A$ -algebras by the assignment  $(B, u) \mapsto B$ . It is a sheaf by Lemma 3.13. It is called the structure sheaf of the prismatic site  $(R/A)_\Delta^{\text{op}}$ . The prismatic complex of  $R$  over  $(A, I)$  is

$$\Delta_{R/A} = R\Gamma((R/A)_\Delta^{\text{op}}, \mathcal{O}_\Delta) \in D(A).$$

We set  $\bar{\Delta}_{R/A} = \Delta_{R/A} \otimes_A^L A/I$ .

**Remark 4.4.** We mainly use the above definition if  $R$  is a smooth  $A/I$  algebra, in which case it coincides with the Kan extended version, see below.

**Definition 4.5.** Consider the functor  $R \mapsto \Delta_{R/A}$  from the category of finite polynomial  $A/I$ -algebras to the category of commutative algebra objects in the  $\infty$ -category of  $(p, I)$ -complete objects in  $D(A)$  equipped with a  $\phi_A$ -semilinear endomorphism. It can be extended to

$$\Delta_{\bullet/A} : \text{AniAlg}_{A/I} \rightarrow \widehat{D}_{\phi_A}(A).$$

It is called derived prismatic cohomology in [BS22, Construction 7.6].

## 4.2 The Čech–Alexander complex

Let  $(A, I)$  be a bounded prism. Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra. Let  $B_0 \rightarrow R$  be a surjection of  $A$ -algebras with  $B_0$  being the  $(p, I)$ -completion of a polynomial  $A$ -algebra.

**Construction 4.6.** We shall construct a weakly initial object of  $(R/A)_\Delta$ .

Let  $B$  be the  $(p, I)$ -completion of the free  $\delta$ - $A$ -algebra on  $B_0$ . Let  $J_0$  be the kernel of  $B_0 \rightarrow R$ . Let  $R'$  be the  $p$ -completion of  $B/J_0B$ . Let  $J$  be the kernel of  $B \rightarrow R'$ . Let  $(C, IC)$  be the prismatic envelope of  $(B, J)$  over  $(A, I)$ . The situation is summarized in the following commutative diagram.

$$\begin{array}{ccccccc} A & \longrightarrow & B_0 & \longrightarrow & B & \longrightarrow & C \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ A/I & \longrightarrow & R & \longrightarrow & R' & \longrightarrow & C/IC \end{array}$$

In particular, we obtain an object  $(C, u : R \rightarrow C/IC)$  of the category  $(R/A)_\Delta$ .

Let  $(D, v)$  be another object of  $(R/A)_\Delta$ . As  $D$  is  $(p, I)$ -complete and  $B_0$  is the  $(p, I)$ -completion of a polynomial  $A$ -algebra, by the universal property of  $(p, I)$ -completion, there exists an  $A$ -algebra map  $B_0 \rightarrow D$  that lifts the  $A/I$ -algebra map  $R \rightarrow D/ID$ , see Lemma 2.13 and the following diagram

$$\begin{array}{ccccc} A & \longrightarrow & B_0 & \longrightarrow & D \\ \downarrow & & \downarrow & & \downarrow \\ A/I & \longrightarrow & R & \longrightarrow & D/ID. \end{array}$$

The map  $B_0 \rightarrow D$  extends to an  $A$ -algebra map  $B \rightarrow D$ , as  $D$  is a  $\delta$ - $A$ -algebra and  $B$  is the free  $\delta$ - $A$ -algebra on  $B_0$ . Since the square on the left

$$\begin{array}{ccccc} B_0 & \longrightarrow & B & \longrightarrow & D \\ \downarrow & & \downarrow & & \downarrow \\ R & \longrightarrow & R' & \dashrightarrow & D/ID. \end{array}$$

is a pushout, there exists a unique dashed map  $R' \rightarrow D/ID$  fitting into the diagram. In particular, the map  $B \rightarrow D$  is a map  $(B, J) \rightarrow (D, ID)$  of  $\delta$ -pairs, and thus by the universal property of prismatic envelopes, it induces a unique map  $(C, IC) \rightarrow (D, ID)$  of prisms over  $(A, I)$ . It follows that the map induces a map  $(C, u) \rightarrow (D, v)$  in  $(R/A)_\Delta$  as  $R = B_0/J_0$ .

Therefore the object  $(C, u) \in (R/A)_\Delta$  is weakly initial.

**Construction 4.7.** We shall construct a cosimplicial  $A$ -algebra computing the complex  $\Delta_{R/A}$ .

Let  $B_0^\bullet$  be the  $(p, I)$ -completion of the Čech nerve of  $A \rightarrow B_0$ . Apply Construction 4.6 termwise on  $B_0^\bullet \rightarrow R$ , and we obtain a cosimplicial object  $(C^\bullet \rightarrow C^\bullet/IC^\bullet \leftarrow R)$  of  $(R/A)_\Delta$ . The functoriality of Construction 4.6 shows that the resulting cosimplicial object identifies with the Čech nerve of  $(C^0 \rightarrow C^0/IC^0 \leftarrow R)$ . By Lemma 2.21, we conclude that  $C^\bullet$  computes  $\Delta_{R/A}$ . It follows that  $C^\bullet/IC^\bullet$  computes  $\bar{\Delta}_{R/A}$ .

## 4.3 Permanence properties

Let  $(A, I)$  be a bounded prism.

**Lemma 4.8.** Let  $R \rightarrow S$  be a  $p$ -completely étale map of  $p$ -completely smooth  $A/I$ -algebras.

- (1) The natural functor  $(S/A)_\Delta \rightarrow (R/A)_\Delta$  admits a left adjoint  $F$ .
- (2) Then the natural map

$$\bar{\Delta}_{R/A} \otimes_R^L S \rightarrow \bar{\Delta}_{S/A}$$

is an isomorphism.

*Proof.* Proof of (1). Let  $B \rightarrow B/IB \leftarrow R$  be an object of  $(R/A)_\Delta$ . By Elkik's algebraization, we choose an étale  $R$ -algebra  $S_0$  such that  $S$  is the  $p$ -completion of  $S_0$ . By [Sta, Tag 04D1], we can choose an étale  $B$ -algebra  $C_1$  such that  $C_1/IC_1 \simeq B/IB \otimes_R S_0$ . Let  $C$  be the  $(p, I)$ -completion of  $C_1$ . Then  $C$  is  $(p, I)$ -complete  $(p, I)$ -completely étale over  $B$ , and thus it admits a unique  $\delta$ -structure compatible with  $B$  by Lemma 2.40. So we obtain an object

$$C \rightarrow C/IC \simeq B/IB \widehat{\otimes}_R^L S \leftarrow S$$

of  $(S/A)_\Delta$  satisfying the desired universal property.

Proof of (2). Choose a surjection  $B_0 \rightarrow R$  with  $B_0$  being  $(p, I)$ -completion of a polynomial  $A$ -algebra, and we obtain a cosimplicial object  $(C^\bullet \rightarrow C^\bullet/IC^\bullet \leftarrow R)$  by Construction 4.7. Let

$$(D^\bullet \rightarrow D^\bullet/ID^\bullet \leftarrow S) = F(C^\bullet \rightarrow C^\bullet/IC^\bullet \leftarrow R).$$

Then  $(D^\bullet \rightarrow D^\bullet/ID^\bullet \leftarrow S)t$  is the Čech nerve of the weakly initial object  $(D^0 \rightarrow D^0/ID^0 \leftarrow S)$ , and thus  $D^\bullet/ID^\bullet$  computes  $\overline{\Delta}_{S/A}$ . The construction of  $F$  in (1) shows that  $C^\bullet/IC^\bullet \otimes_R^L S \simeq D^\bullet/ID^\bullet$ . We conclude by Lemma 2.18.  $\square$

**Lemma 4.9.** Let  $(A, I) \rightarrow (A', IA')$  be a map of bounded prisms such that the ring map  $A \rightarrow A'$  is of finite  $(p, I)$ -complete tor-amplitude. Let  $R' = R \widehat{\otimes}_A A'$  be the  $(p, I)$ -completed base-change. Then we have a natural isomorphism

$$\Delta_{R/A} \widehat{\otimes}_A A' \simeq \Delta_{R'/A'}$$

and the similar holds for  $\overline{\Delta}_{R/A}$ .

*Proof.* Use Construction 4.7 to obtain a cosimplicial  $A$ -algebra  $C^\bullet$  computing  $\Delta_{R/A}$ , apply  $-\widehat{\otimes}_A^L A'$  termwise to  $C^\bullet$ , and finish using Lemma 2.18.  $\square$

## 5 Comparison theorems

### 5.1 The crystalline comparison

In this section we prove the crystalline comparison theorem, first for the pd-thickening  $A \rightarrow A/p$ , and then the general situation. Although both proof involve some choices, the resulting comparison isomorphism is canonical, and we omit relevant discussions (see Remark 5.6).

**Definition 5.1.** A prism  $(A, I)$  is called crystalline if  $I = (p)$ .

**Remark 5.2.** A  $\delta$ -pair  $(A, (p))$  is crystalline if and only if  $A$  is  $p$ -torsion-free and  $p$ -complete.

**Lemma 5.3.** Let  $(A, (p))$  be a crystalline prism. Let  $R$  be a smooth  $A/p$ -algebra. Let  $R^{(1)}$  be the pullback of  $R$  along the Frobenius  $A/p \rightarrow A/p$ . Then we have a natural isomorphism

$$\Delta_{R^{(1)}/A} \simeq R\Gamma_{\text{crys}}(R/A)$$

of  $\mathbb{E}_\infty$ - $A$ -algebras, i.e. it is an isomorphism of commutative algebras in  $D(A)$ .

*Proof.* Choose a surjection  $B_0 \rightarrow R$  of  $A$ -algebras where  $B_0$  is the  $p$ -completion of a polynomial  $A$ -algebra (for example the polynomial algebra on  $R$ ). We obtain cosimplicial  $A$ -algebras  $D^\bullet$  and  $C^\bullet$  computing the crystalline cohomology  $R\Gamma_{\text{crys}}(R/A)$  and the prismatic cohomology  $\Delta_{R/A}$ , respectively, using Construction 2.27 and Construction 4.7. By a close inspection on the construction of the Čech–Alexander complex, it holds that  $\phi_A^* C^\bullet$ , i.e. the termwise pullback along the associated Frobenius, computes  $\Delta_{R^{(1)}/A}$ . Recall that  $D^\bullet$ , by definition, is the (termwise)  $p$ -completion of the pd-envelope  $D_{J^\bullet}(B^\bullet)$ . By Lemma 2.39, we have a natural isomorphism

$$D^\bullet \simeq B^\bullet \{\phi(J^\bullet)/p\}^\wedge.$$

By Lemma 3.19, we know that  $C^\bullet$ , as the prismatic envelope of  $(B^\bullet, J^\bullet)$ , identifies with  $B^\bullet \{J^\bullet/p\}^\wedge$ . Hence we obtain a natural comparison map

$$\eta : \phi_A^* C^\bullet \simeq \phi_A^* (B^\bullet \{J^\bullet/p\}^\wedge) \rightarrow B^\bullet \{\phi(J^\bullet)/p\}^\wedge \simeq D^\bullet$$

of cosimplicial  $A$ -algebras. Moreover, the cosimplicial  $A$ -algebra  $D^\bullet$  can be identified with  $\phi_A^* C^\bullet \otimes_{B^{\bullet, (1)}} B^\bullet \simeq \phi_B^* C^\bullet$ . Applying Lemma 2.25, we see that  $\eta$  induces a quasi-isomorphism after modulo  $p$ . It follows that  $\eta$  is a quasi-isomorphism by Lemma 2.14.  $\square$

**Remark 5.4.** Let  $A$  be a ring. Let  $I$  be a pd-ideal of  $A$  such that  $p \in I$ . For  $x \in I$ , we have  $x^p = p! \cdot \gamma_p(a)$ , cf. [Sta, Tag 07GM]. It follows that the absolute Frobenius  $A/p \rightarrow A/p$  induces a ring map  $\psi : A/I \rightarrow A/p$ .

**Lemma 5.5.** Let  $(A, (p))$  be a crystalline prism. Let  $I$  be a pd-ideal of  $A$  with  $p \in I$ . Let  $R$  be a smooth  $A/I$ -algebra. Let  $R^{(1)} = R \otimes_{A/I} A/p$  where  $A/p$  is regarded as an  $A/I$ -algebra via the map  $\psi$ . Then there is a natural isomorphism  $\Delta_{R^{(1)}/A} \simeq R\Gamma_{\text{crys}}(R/A)$  of  $\mathbb{E}_\infty$ - $A$ -algebras.

*Proof.* The natural map  $A/p \rightarrow A/I$  is surjective, and thus we can choose a smooth  $A/p$ -algebra  $\tilde{R}$  lifting  $R$  by [Sta, Tag 07M8]. By Lemma 5.3, we have the isomorphism

$$\Delta_{\tilde{R}^{(1)}/A} \simeq R\Gamma_{\text{crys}}(\tilde{R}/A).$$

Note that  $\tilde{R}^{(1)} \simeq R^{(1)}$  as the Frobenius  $A/p \rightarrow A/p$  is the composition  $A/p \rightarrow A/I \rightarrow A/p$ . By [Ber06, Théorème 3.5.1], we have a canonical isomorphism  $R\Gamma_{\text{crys}}(\tilde{R}/A) \simeq R\Gamma_{\text{crys}}(R/A)$ . Therefore we obtain a functorial isomorphism  $\Delta_{R^{(1)}/A} \simeq R\Gamma_{\text{crys}}(R/A)$ .  $\square$

**Remark 5.6.** We now explain briefly why the comparison map is canonical.

- (1) In Lemma 5.3, a map  $B_0 \rightarrow R$  is chosen. The collection of such maps  $B_0 \rightarrow R$  forms a sifted category, and it is weakly contractible when viewed as an  $\infty$ -category, cf. [Lura, Tag 02QL]. Hence the map to the mapping anima  $\text{Map}_{\text{Alg}(D(A))}(\Delta_{R^{(1)}/A}, R\Gamma_{\text{crys}}(R/A))$  is null-homotopic, cf. [Lura, Tag 050U].
- (2) In Lemma 5.5, we choose a lift  $\tilde{R}$ . The resulting comparison map is actually compatible with a Čech–Alexander style construction in (1). We omit the details here and refer the readers to [BS22].

**Remark 5.7.** The canonical comparison map  $\eta : \Delta_{R^{(1)}/A} \simeq R\Gamma_{\text{crys}}(R/A)$  is compatible with the Frobenius, see the proof of [BS22, Theorem 5.2].

## 5.2 The Hodge–Tate comparison

Let  $(A, I)$  be a bounded prism. We prove the Hodge–Tate comparison in three steps.

- (1) The first step is to prove the characteristic  $p$  case, which is deduced from the crystalline comparison.
- (2) The second step is to prove the comparison for affine spaces by reducing to (1). Then we can construct the Hodge–Tate comparison map for smooth  $A/I$ -algebras.
- (3) The last step is to prove that the Hodge–Tate comparison map is an isomorphism by reducing to (2).

**Lemma 5.8.** Let  $R$  be an  $\mathbb{F}_p$ -algebra. Let  $S$  be a finite polynomial  $R$ -algebra. Let  $\phi : S^{(1)} \rightarrow S$  be the relative Frobenius. Then there is a quasi-isomorphism of  $S^{(1)}$ -dga

$$(\Omega_{S^{(1)}/R}^\bullet, 0) \rightarrow (\Omega_{S/R}^\bullet, d_{\text{dR}})$$

extending  $\phi$  (in degree zero).

*Proof.* This is clear using a basis. Alternatively, this is a special case of [Kat70, Theorem 7.2].  $\square$

**Lemma 5.9.** Let  $(A, (p))$  be a crystalline prism. Let  $S$  be a smooth  $A/p$ -algebra. Then we have an isomorphism

$$H^i(\bar{\Delta}_{S/A})\{i\} \simeq \Omega_{S/(A/p)}^i.$$

*Proof.* By the standard properties of smoothness (say [Sta, Tag 054L]) and Lemma 4.8, we may assume that  $S$  is a finite polynomial algebra over  $A/p$ . By Lemma 4.9, we reduce to the case  $A = \mathbb{Z}_p$ . Then  $S = R^{(1)}$  for  $R = \mathbb{F}_p[X_1, \dots, X_n]$ . We have  $\Delta_{S/A} \simeq R\Gamma_{\text{crys}}(R/A)$  by the crystalline comparison, and thus

$$\overline{\Delta}_{S/A} \simeq R\Gamma_{\text{crys}}(R/A) \otimes_A^L A/p \simeq \Omega_{R/(A/p)}$$

by the comparison between crystalline cohomology and de Rham cohomology. Therefore the claim follows from Lemma 5.8.  $\square$

**Construction 5.10.** Let  $(A, (d))$  be then universal oriented prism, i.e.  $A$  is the  $(p, d)$ -completion of  $A_0 = \mathbb{Z}_{(p)}\{d, \delta(d)^{-1}\}$  and  $I = (d)$ . Note that  $A/d$  is  $p$ -torsion-free and the Frobenius on  $A/p$  is flat. Let  $B = A\{\phi(d)/p\}^\wedge$  be the  $p$ -completed simplicial  $\delta$ - $A$ -algebra obtained by freely adjoining  $\phi(d)/p$  to  $A$ , cf. Lemma 2.39. It follows that the  $A$ -algebra  $B$  is discrete,  $(p, \phi(d))$ -complete,  $(p, d)$ -complete,  $p$ -torsion-free, and identifies with the  $p$ -completed pd-envelope of  $(d) \subset A$ . Let  $\alpha : A \rightarrow B$  be the composition of the structure map  $A \rightarrow B$  and the associated Frobenius  $\phi : A \rightarrow A$ .

**Remark 5.11.** The map  $\alpha/p$  can be factored as

$$A/p \rightarrow A/(p, d) \xrightarrow{\phi} A/(p, d^p) \rightarrow B/p \simeq D_{(d)}(A/p).$$

Note that the first map is of finite  $d$ -complete tor-amplitude, and that the second and the third map are both faithfully flat. It follows that the completed base-change functor

$$\widehat{\alpha}^* : \widehat{D}(A) \rightarrow \widehat{D}(B)$$

on the  $(p, d)$ -complete objects of the derived categories has the following properties.

- (1) The functor  $\widehat{\alpha}^*$  is conservative.
- (2) The functor  $\widehat{\alpha}^*$  has finite  $(p, d)$ -complete tor-amplitude.
- (3) The functor  $\widehat{\alpha}^*$  preserves prismatic cohomology, i.e. for every  $p$ -completely smooth  $A/I$ -algebra  $R$  with  $p$ -completed base-change  $R_B$  to  $B/IB$ , we have an isomorphism  $\widehat{\alpha}^* \Delta_{R/A} \simeq \Delta_{R_B/B}$ .

**Construction 5.12.** Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra. We have a fibre sequence

$$\Delta_{R/A} \otimes_A^L I^{i+1}/I^{i+2} \rightarrow \Delta_{R/A} \otimes_A^L I^i/I^{i+2} \rightarrow \Delta_{R/A} \otimes_A^L I^i/I^{i+1}$$

induced from  $I^{i+1}/I^{i+2} \rightarrow I^i/I^{i+2} \rightarrow I^i/I^{i+1}$ . The long exact sequence gives maps

$$\beta : H^i(\overline{\Delta}_{R/A})\{i\} \rightarrow H^{i+1}(\overline{\Delta}_{R/A})\{i+1\}$$

for every  $i \geq 0$ . Thus we obtain a differential graded algebra  $H^\bullet(\overline{\Delta}_{R/A})\{\bullet\}$  over  $A/I$ . We have the natural map

$$\eta^0 : \Omega_{R/(A/I)}^0 = R \rightarrow H^0(\overline{\Delta}_{R/A})\{0\}.$$

By the universal property of Kähler differentials, we obtain a map of  $R$ -modules

$$\eta^1 : \Omega_{R/(A/I)}^1 \rightarrow H^1(\overline{\Delta}_{R/A})\{1\}$$

corresponding to the derivation  $\beta \circ \eta^0$ .

**Lemma 5.13.** Let  $R = (A/I)[X]^\wedge$ .

- (1) Both  $\eta^0 : R \rightarrow H^0(\overline{\Delta}_{R/A})$  and  $\eta^1 : \Omega_{R/(A/I)}^1 \rightarrow H^1(\overline{\Delta}_{R/A})\{1\}$  are isomorphisms.
- (2)  $H^i(\overline{\Delta}_{R/A}) = 0$  for  $i > 1$ .

*Proof.* We start with several reductions. First, we can reduce to the case that  $(A, I)$  is orientable by Lemma 3.9. Choose an orientation  $I = (d)$ . Let  $\alpha : A_0 \rightarrow B_0$  be the map defined in Construction 5.10 where  $A_0$  is the universal oriented prism. We have a unique map  $A_0 \rightarrow A$  by the universal property. Let  $B = A \widehat{\otimes}_{A_0}^L B_0$ . By Remark 5.11, the functor  $\widehat{\beta}^* : \widehat{D}(B) \rightarrow \widehat{D}(A)$  is conservative. The complex  $\widehat{\beta}^* \overline{\Delta}_{R/A}$  admits an explicit description (by choosing a suitable cosimplicial object computing  $\overline{\Delta}_{R/A}$ , e.g. [BS22, Remark 4.19]) as the completed pullback of  $\overline{\Delta}_{\mathbb{F}_p[X]/\mathbb{Z}_p}$  along the natural map  $\mathbb{Z}_p \rightarrow B$ . Hence it suffices to prove the claim for  $\overline{\Delta}_{\mathbb{F}_p[X]/\mathbb{Z}_p}$ . In this special case, the base prism is  $(A, (p))$ . Then we are done by Lemma 5.9.  $\square$

**Remark 5.14.** The above proof obviously generalizes to  $R = (A/I)[X_1, \dots, X_n]^\wedge$ , in the sense that  $H^d(\overline{\Delta}_{R/A}) = 0$  for  $d > n$  and for  $d \leq n$  the comparison map  $\eta^d$  is an isomorphism, once the corresponding comparison maps are defined (which is not the case at this point).

**Lemma 5.15.** Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra. Let  $f \in R$ . Then  $\beta(f) \in H^1(\overline{\Delta}_{R/A})\{1\}$  squares to zero in  $H^2(\overline{\Delta}_{R/A})\{2\}$ .

*Proof.* Note that the element  $f$  corresponds to a map  $(A/I)[X]^\wedge \rightarrow R$ , and then the result follows from Lemma 5.13 by functoriality.  $\square$

**Remark 5.16.** Let  $B \rightarrow C$  be a ring map. Recall that the de Rham complex  $\Omega_{C/B}^\bullet$ , viewed as a strictly commutative differential graded algebra over  $B$ , has the following universal property. Let  $E^\bullet$  be a commutative  $B$ -dga with  $E^i = 0$  for  $i < 0$ . Let  $\eta : C \rightarrow E^0$  be a  $B$ -algebra map such that for every  $x \in C$ , the element  $y = d(\eta(x)) \in E^1$  satisfies  $y \cdot y = 0$  (note that this is automatic if  $E^\bullet$  is strictly graded commutative, see [Sta, Tag 061W]). Then the map  $C \rightarrow E^0$  extends uniquely to a map  $\Omega_{C/B}^\bullet \rightarrow E^\bullet$  of  $B$ -dgas.

**Construction 5.17.** Combining Lemma 5.15 and Remark 5.16, we obtain the Hodge–Tate comparison

$$\eta^\bullet : \Omega_{R/(A/I)}^\bullet \rightarrow H^\bullet(\overline{\Delta}_{R/A})\{\bullet\}$$

of commutative differential graded  $R$ -algebras, for a  $p$ -completely smooth  $A/I$ -algebra  $R$ .

**Lemma 5.18.** Let  $R$  be a  $p$ -completely smooth  $A/I$ -algebra. Then the Hodge–Tate comparison map

$$\eta^\bullet : \Omega_{R/(A/I)}^\bullet \rightarrow H^\bullet(\overline{\Delta}_{R/A})\{\bullet\}$$

is an isomorphism of  $A/I$ -dgas.

*Proof.* This is clear, see Remark 5.14.  $\square$

### 5.3 The étale comparison

Let  $(A, (d))$  be a perfect prism. Let  $S$  be a  $p$ -complete  $A/d$ -algebra. Write  $F$  and  $G$  for the functors on  $p$ -complete  $A/d$ -algebras

$$F(S) = R\Gamma(\mathrm{Spec}(S[1/p]), \mathbb{Z}/p^n), \quad G(S) = (\Delta_{S/A}[1/d]/p^n)^{\phi=1}.$$

In this section we sketch the construction of the étale comparison map  $F \rightarrow G$ , i.e. a functorial isomorphism

$$R\Gamma(\mathrm{Spec}(S[1/p]), \mathbb{Z}/p^n) \rightarrow (\Delta_{S/A}[1/d]/p^n)^{\phi=1}.$$

**Remark 5.19.** By Lemma 2.46, the functor  $F$  is a sheaf for the arc- $p$  topology.

**Lemma 5.20.** The functor  $G$  is a sheaf for the arc- $p$  topology.

*Proof.* We first introduce the perfection of  $\Delta_{S/A}$ , defined as

$$\Delta_{S/A, \mathrm{perf}} = \mathrm{colim} \left[ \Delta_{S/A} \xrightarrow{\phi_S} \Delta_{S/A} \xrightarrow{\phi_S} \Delta_{S/A} \rightarrow \cdots \right]^\wedge.$$

It is a  $(p, I)$ -complete  $\mathbb{E}_\infty$ - $A$ -algebras. The map

$$(\Delta_{S/A}[1/d]/p)^{\phi=1} \rightarrow (\Delta_{S/A}[1/d]/p)^{\phi=1}$$



induced by  $\phi_S$  is an isomorphism, and thus by the fact that taking fixed points of the Frobenius commutes with completed colimits ([BS22, Lemma 9.2]), we have an isomorphism

$$(\Delta_{S/A}[1/d]/p^n)^{\phi=1} \rightarrow (\Delta_{S/A,\text{perf}}[1/d]/p^n)^{\phi=1}$$

for every  $n \geq 1$  functorial in  $S$ . The functor  $S \mapsto (\Delta_{S/A,\text{perf}}[1/d]/p^n)^{\phi=1}$  is a sheaf for the arc topology by [BS22, Corollary 8.11], and we can proceed as [BM21, Corollary 6.17] to show that  $G$  is in fact a sheaf for the arc- $p$  topology.  $\square$

**Construction 5.21.** We shall construct a map  $F \rightarrow G$ . As the arc- $p$  topology is finer than the Zariski topology, we obtain a map of functors

$$H^0(F(-)) = H^0(\text{Spec}((-)[1/p]), \mathbb{Z}/p^n) \rightarrow H_{\text{arc-}p}^0(-, \mathbb{Z}/p^n).$$

It induces a map of sheaves

$$F \rightarrow R\Gamma_{\text{arc-}p}(-, \mathbb{Z}/p^n)$$

because the sheaf  $F$  (valued in the derived category) is actually equal to the sheafification of the presheaf  $H^0(F(-))$  (note that sheafification valued in the derived category computes sheaf cohomology). On the other hand, the obvious map  $\mathbb{Z}/p^n \rightarrow G$  of presheaves induces a map

$$R\Gamma_{\text{arc-}p}(-, \mathbb{Z}/p^n) \rightarrow G.$$

Combining the above two maps, and we obtain the étale comparison map  $F \rightarrow G$ .

**Lemma 5.22.** The canonical map  $F \rightarrow G$  in Construction 5.21 is an isomorphism. In particular, we have a canonical isomorphism

$$R\Gamma(\text{Spec}(S[1/p]), \mathbb{Z}/p^n) \simeq (\Delta_{S/A}[1/d]/p^n)^{\phi=1}$$

for each  $n \geq 1$ .

*Proof.* By arc- $p$  descent, we reduce to the case  $S = \prod R_i$  where every  $R_i$  is an absolutely integrally closed valuation ring of rank  $\leq 1$ , cf. [BS22, Remark 8.9] and [BM21, Proposition 3.30]. In this case,  $F(S)$  is equal to the product of copies of  $\mathbb{Z}/p^n$ , and  $G(S)$  can be identified with  $W(S^b)$  (see [BS22, Example 8.3]). The desired isomorphism then follows from the Artin–Schreier–Witt exact sequence.  $\square$

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